

3As Highlight Report
 Meeting Name: Audit and Risk Committee
 Meeting Chair & Report Author: Hetal Parmar
 Date: 19 April 2024
 Quorate: Yes
 Policies & expiry date: N/A

Agenda Item:	Reference:	Lead:	Description:	ORR Reference:
ALERT:				
Alert to matters that need the Board's attention or action, e.g. an area of non-compliance, safety or a threat to the Trust's strategy				
There were no items to alert.				
ADVISE:				
Advise the Board of areas subject to on-going monitoring or development or where there is negative assurance				
There were no items to alert.				
ASSURE:				
Inform the Board where positive assurance has been received				
2023/24 Interim Head of Internal Audit Opinion	ARC/24/024	360 Assurance	The committee approved the 2023/24 Interim Head of Internal Audit Opinion presented by 360 Assurance, the final HoIAO would be presented to the next meeting in June. The opinion took into account the arrangements for strategic risk management and the ORR, 360 Assurance audit plan outturn, implementation of internal audit actions and third party assurances. The position of first follow up implementation rate as at 31 March 2024 was 96% with an overall implementation rate of 100%.	N/A
Draft Annual Governance Statement	ARC/24/025	Director of Governance and Risk	The committee approved the draft Annual Governance Statement for submission to Trust Board for approval. No significant internal control issues had been identified, LPT had a generally sound system of internal control that supported the achievement of its policies, aims and objectives and minimised exposure to risk.	N/A
Summary of Draft Annual Accounts 2023/24	ARC/24/026	Deputy Director of Finance	The Annual Accounts for the Year Ended 31 March 2024 were presented, the committee carried out an in-depth review of the key financial statements and the key judgements. The committee was assured that good financial arrangements were in place for the preparation of the 2023/24 annual accounts.	90

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Draft Annual Quality Accounts 2023/24	ARC/24/027	Associate Director of AHPs and Quality	<p>The draft Quality Account was presented for information and as an opportunity for ARC members to provide feedback on it. The committee welcomed the collaboration with broader stakeholders and examples of how the Trust was using pan group knowledge.</p> <p>ARC reviewed the document and made a number of suggestions, such as including examples of the challenges experienced by directorates like the neurodevelopmental pathway and working taking place on harm review as well as the achievements and positive work that had been delivered. Consideration would also be given to the production of an accessible summary version.</p> <p>The Committee acknowledged the amount of time and effort that had gone into producing a very comprehensive quality account.</p>	N/A
<p>CELEBRATING OUTSTANDING: Share any practice, innovation or action that the Committee considers to be outstanding</p>				
<p>There were no items to alert.</p>				