

**3As Highlight Report**

Meeting Name: Audit and Risk Committee

Meeting Chair &amp; Report Author: Hetal Parmar/Val Glenton

Date: 7 March 2025

Quorate: Yes

Agenda Item:	Reference:	Lead:	Description:	BAF Ref:
<b>ALERT:</b> Alert to matters that need the Board's attention or action, e.g. an area of non-compliance, safety or a threat to the Trust's strategy				
There were no items to alert the Board of.				
<b>ADVISE:</b> Advise the Board of areas subject to on-going monitoring or development or where there is negative assurance				
Counter Fraud Progress Report	ARC/25/009	Anti-Crime Team Manager 360 Assurance	ARC received a good overall level of assurance from the report, the focus of discussion was on two specific areas; <ul style="list-style-type: none"> <li>The first was in relation to fraud awareness and training, ARC noted a number of initiatives were being put in place in addition to the role-specific training sessions hosted by 360 Assurance which included;               <ul style="list-style-type: none"> <li>Having a dedicated webpage for the Counter Fraud Team on StaffNet with links to training, guidance and reporting materials;</li> <li>Access to NHSE's counter fraud eLearning module. The CFS was liaising with the Trust's L&amp;D team to replace the existing counter fraud module with this new version.</li> </ul> </li> <li>The second discussion was on understanding how LPT performed against the wider market. Benchmarking would be carried out on both the amount of counter fraud activity compared to trusts similar to LPT and on what the outcomes were.</li> </ul>	N/A
<b>ASSURE:</b> Inform the Board where positive assurance has been received				
Internal Audit Progress Report	ARC/25/007	Client Manager 360 Assurance	<ul style="list-style-type: none"> <li>Good assurance was provided on Internal Audit activity since the previous meeting, four final reports had been issued, one with substantial assurance opinion and three with significant assurance.</li> <li>ARC approved the 2025/26 Internal Audit Plan.</li> </ul>	N/A
Governance & Risk Report	ARC/25/010 ARC/25/011	Director of Governance and Risk	ARC received a high level of assurance on systems and processes in place to secure an effective governance and risk framework; <ul style="list-style-type: none"> <li>All policies remained in date, there were 216 policies in total, 42 were due for review in</li> </ul>	N/A

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			<p>the next six months and of these, 18 were due to expire in the next three months, none were overdue.</p> <ul style="list-style-type: none"> <li>ARC approved the Risk Management Strategy 2025 - 2028.</li> </ul>	
<p><b>CELEBRATING OUTSTANDING:</b>  <b>Share any practice, innovation or action that the Committee considers to be outstanding</b></p>				
Internal Audit Progress Report	ARC/25/007	Client Manager 360 Assurance	<ul style="list-style-type: none"> <li>In terms of action tracking, the implementation rate for first follow ups was 94% and 100% for high and medium level risks. There were currently no outstanding risks or historic actions. 360 Assurance confirmed LPT was in a very good position compared to other NHS organisations.</li> <li>The Cash and Treasury Management Review had received a substantial assurance opinion, the highest level of assurance, this was particularly pleasing in view of the current financial challenges and pressures.</li> </ul>	BAF03